

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
3045-2339 (53045/2339)

In Re Application Of:

Suzuki et al.

# 18/1.203  
BE 11/03

Serial No.  
09/453,772

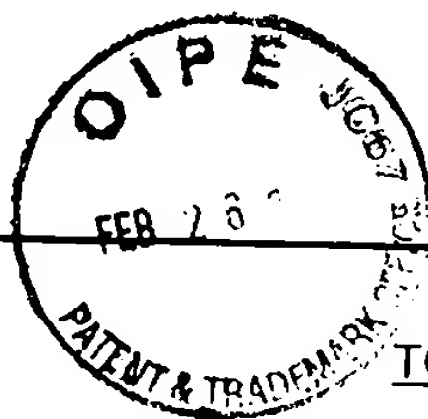
Filing Date  
December 3, 1999

Examiner  
Perez, Guillermo

Group Art Unit  
2834

Invention:

Actuator



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement here below:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Signature

Dated: Feb. 21, 2003

Benjamin L. Volk, Jr., Reg. No. 48,017  
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21888

PATENT TRADEMARK OFFICE

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Benjamin L. Volk, Jr.

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P10C/REV02

02/27/2003 JBALINAN 00000018 09453772

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c) or 1.97(d))

Docket No.  
3045-2339 (53045/2339)

In Re Application Of:  
Suzuki et al.

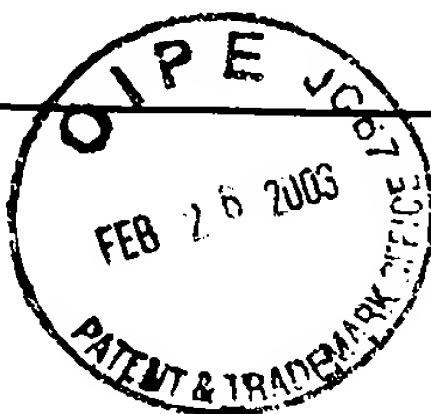
Serial No.  
09/453,772

Filing Date  
December 3, 1999

Examiner  
Perez, Guillermo

Group Art Unit  
2834

Title:  
Actuator



Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

37 CFR 1.97(d)

3. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), provided that the Information Disclosure Statement is filed before payment of the issue fee and is accompanied by:

☒ the statement specified in 37 CFR 1.97(e);

AND

☒ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c) or 1.97(d))

Docket No.  
3045-2339 (53045/2339)

In Re Application:

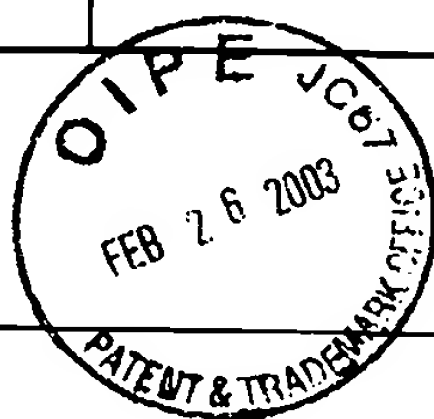
Suzuki et al.

Serial No.  
09/453,772

Filing Date  
December 3, 1999

Examiner  
Perez, Guillermo

Group Art Unit  
2834



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of \$180.00 is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 20-0823 as described below. A duplicate copy of this sheet is enclosed.
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Benjamin L. Volk, Jr., Reg. No. 48,017  
Thompson Coburn LLP  
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**Complete if Known**

Application Number	09/453,772
Filing Date	December 3, 1999
First Named Inventor	Suzuki et al.
Art Unit	2834
Examiner Name	Perez, Guillermo
Attorney Docket Number	3045-2339 (53045/2339)

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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of

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**U.S. PATENT DOCUMENTS**

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
	AA	US-	4,942,325	07-17-1990	Fukaya	
		US-				
		US-				
		US-				
		US-				

**FOREIGN PATENT DOCUMENTS**

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	AB	EP 0 341 867 A1	11-15-1989	Kikuchi et al.		
	AC	DE 37 42 502 A1	06-29-1989	Sander		

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	AD	EUROPEAN PATENT OFFICE; European Search Report; January 21, 2003	

Examiner Signature	Date Considered
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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